

Power Dissipation in Nanoscale CMOS and Carbon Nanotubes

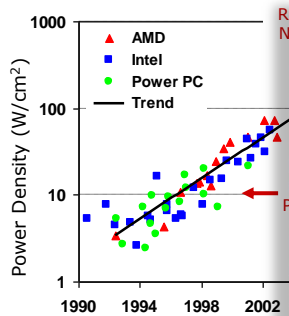
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Power and Heat: The *Big Picture*



Sun surface? 6000 W/cm²



http://phys.ncku.edu.tw/~htsu/humor/fry_egg.html

Thermal Management Challenges

350-V bulk power subassembly
(under cover)

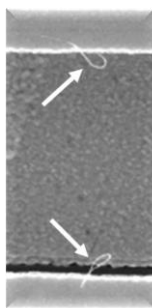


- IBM S/390 refrige
- Grid computing: j

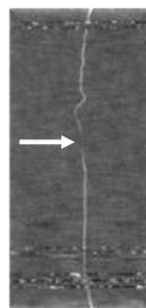
I believe that your CPU needs extra cooling
but can I have just a little bit more
space for food in the refrigerator?



Power and Heat: The *Tiny* Picture



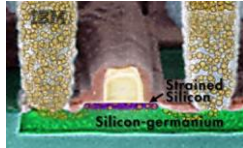
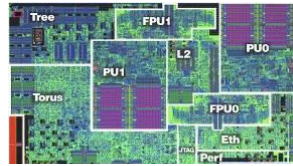
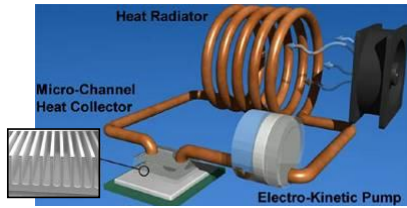
Suspended



On substrate

Carbon nanotubes burn at high enough applied voltage
(they also emit light when they get this hot)

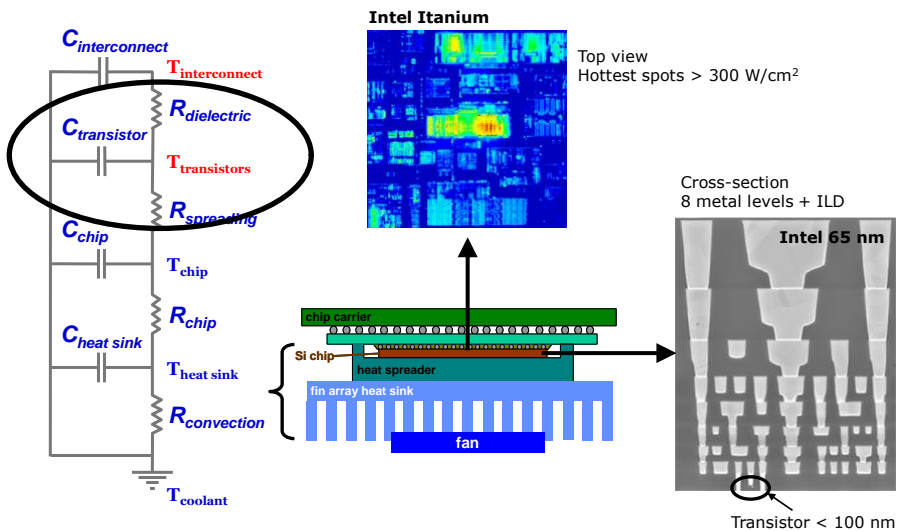
Power, Thermal Management Methods



Is there a bottom-up approach?

From the device and materials level?

Chip-Level Thermal Network



Thermal and Electrical Resistance

$$\begin{array}{ccc}
 & \mathcal{P} = I^2 \times \mathcal{R} & \\
 \swarrow & & \nwarrow \\
 \Delta T = \mathcal{P} \times \mathcal{R}_{TH} & & \Delta V = I \times \mathcal{R} \\
 \searrow & & \swarrow \\
 & \mathcal{R} = f(\Delta T) &
 \end{array}$$



Fourier's Law (1822)

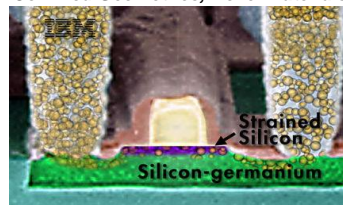


Ohm's Law (1827)

Device-Level Thermal Challenges

- Small geometry
 - High power density (device-level hot spot)
 - Higher surface-to-volume ratio, i.e. higher role of thermal interfaces between materials
- Lower thermal conductivity
- Lowering power (but can it ever be low enough?!)
- Device-level thermal design (phonon engineering)

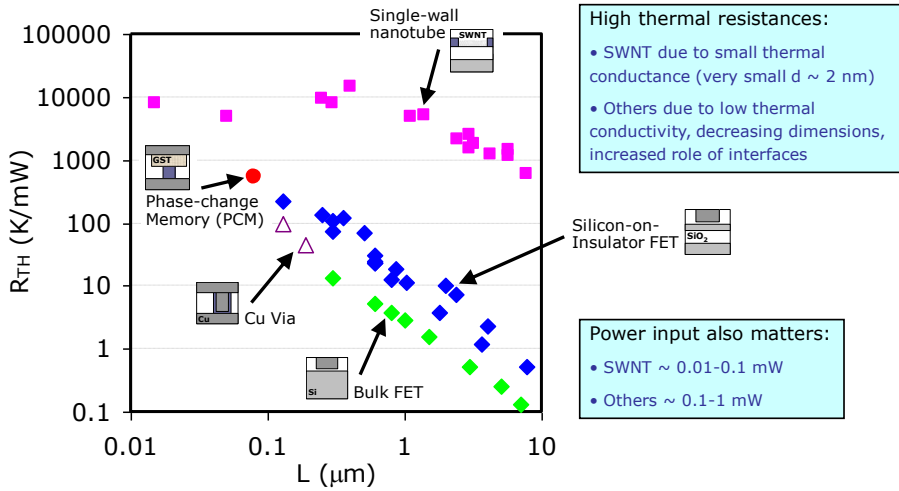
Device Level:
Confined Geometries, Novel Materials



Material	k (W/m/K)
Si	148
Ge	60
Silicides	40
Si (10 nm)	13
SiO ₂	1.4

Source: E. Pop (Proc. IEEE 2006)

Thermal Resistance of a Single Device

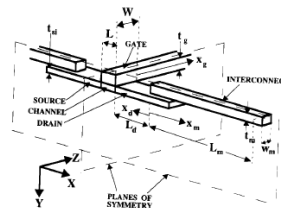
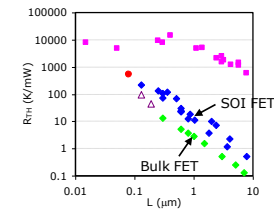
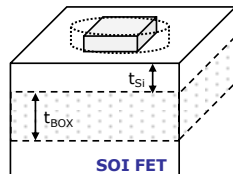
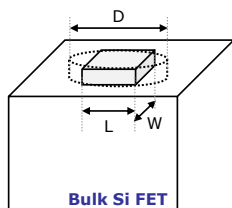


Data: Mautry (1990), Bunyan (1992), Su (1994), Lee (1995), Jenkins (1995), Tenbroek (1996), Jin (2001), Reyboz (2004), Javey (2004), Seidel (2004), Pop (2004-6), Maune (2006).

Modeling Device Thermal Resistance

- Steady-state models

- Lumped: Mautry (1990), Goodson-Su (1994-5), Pop (2004), Darwish (2005)
- Finite-Element models

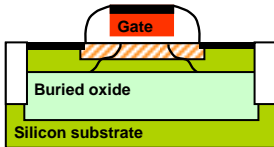
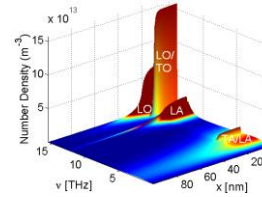


$$R_{TH} = \frac{1}{2k_{Si}D} \approx \frac{1}{4k_{Si}\sqrt{LW}}$$

$$R_{TH} \approx \frac{1}{2W} \left(\frac{t_{BOX}}{k_{BOX}k_{Si}t_{Si}} \right)^{1/2}$$

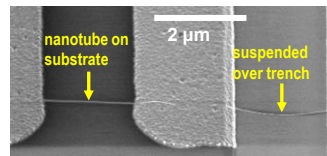
A More Detailed Look

1. Monte Carlo heat generation in bulk and strained silicon

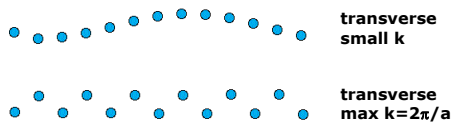


2. Self-heating in thin-body SOI and GOI devices

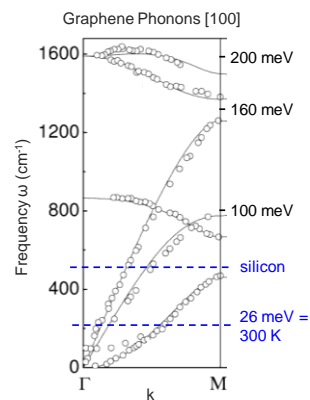
3. Self-heating and lessons from carbon nanotubes



Quick Recap of Phonons

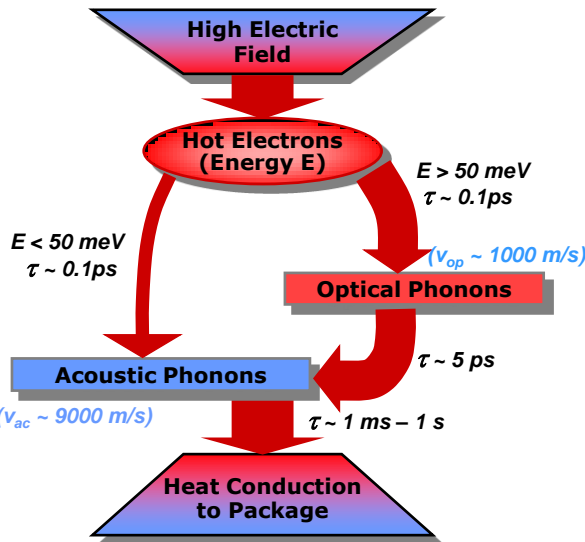


$$\mathbf{u}(\mathbf{r}, t) = A \exp[i(\mathbf{k} \cdot \mathbf{r} - i\omega t)]$$

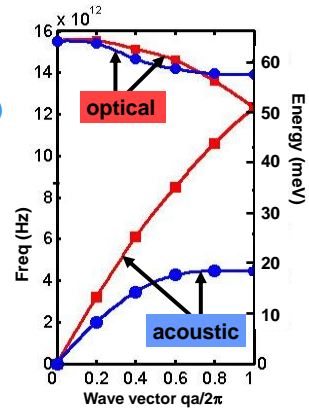


- Phonons = lattice vibration waves
- Phonons are responsible for heat transport in semiconductors
- “Hot phonons” = highly occupied modes above room temperature

Details Picture of Joule Heating

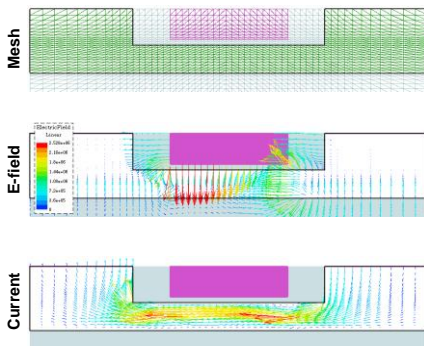


Note: optical phonon energy in CNTs (180 meV) about 3x higher than in Si (60 meV)



2D Thin-Body SOI Simulation

E. Pop et al., Proc. IEEE, 2006

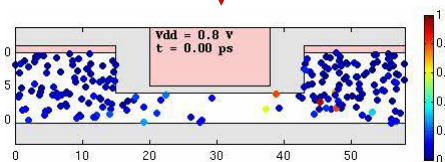


Notice heat is dissipated in device drain

Study of device matching
 $L_G = 18 \text{ nm}$ ITRS specs

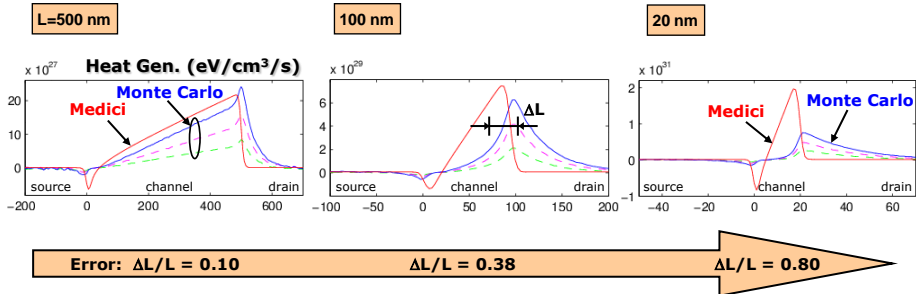
if $W/L = 4$ then $N_{elec} \sim 2500$ total!

Monte Carlo (MONET)



Heat Generation in Quasi-Ballistic Devices

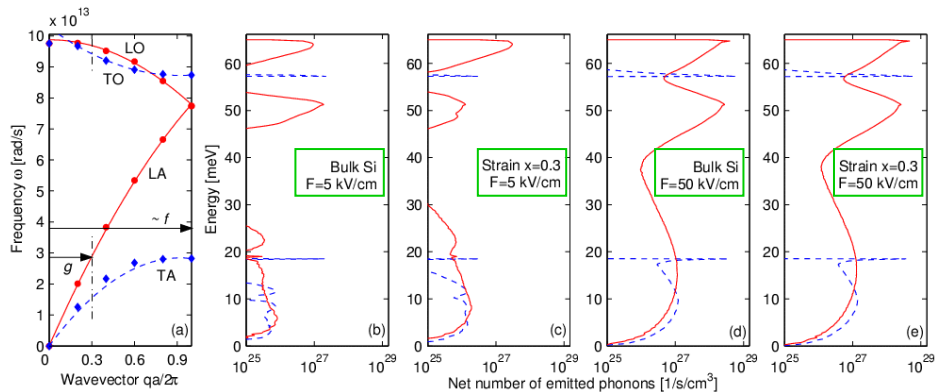
E. Pop et al., SISPAD 2005



- **Monte Carlo** vs. **Medici** (drift-diffusion commercial code):
 - “Long” (500 nm) device: same current, potential, nearly identical
 - Importance of non-local transport in short devices
 - Heat dissipation in DRAIN (optical, acoustic) of shortest devices

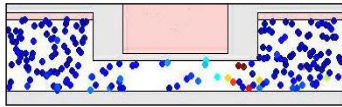
Phonon Generation Spectrum in Silicon

E. Pop et al., Appl. Phys. Lett. 86, 082101 (2005)

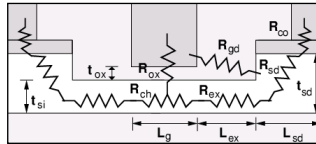
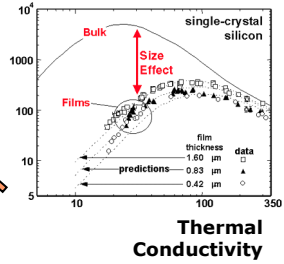


- Complete *spectral* information on phonon generation rates
- Note: effect of scattering selection rules (less f -scat in strained Si)
- Note: same heat generation at high-field in Si and strained Si

What About Device Design?



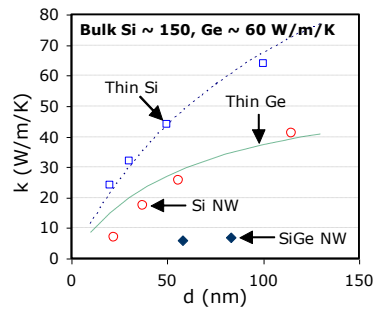
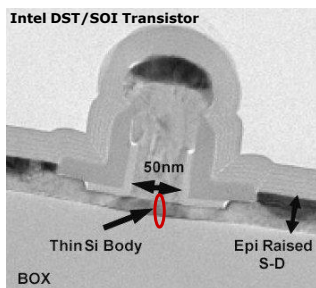
Monte Carlo Analysis



Design and Scaling

Thin Film Thermal Conductivity

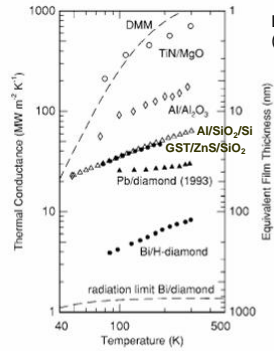
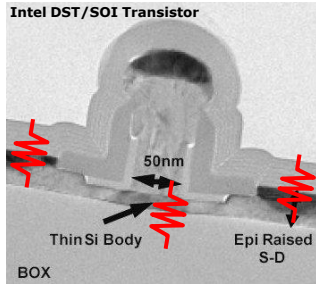
E. Pop et al., Proc. IEDM 2003-2004



- Phonon boundary scattering and confinement
- Strong decrease in thin film or nanowire thermal conductivity (k), up to 10-100x lower than bulk
- How does this affect nanometer scale devices?

Boundary Thermal Resistance

E. Pop et al., Proc. IEEE (2006)

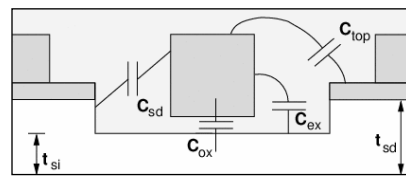
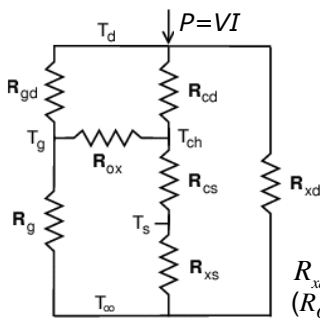


Lyeo, Cahill (2006)

- Thermal interface resistance at solid-solid material interfaces
- Caused by phonon dispersion mismatch b/w materials ($\sim Cv/4$), electron-phonon energy conversion at boundary, roughness at boundary
- Approximately equivalent to $\sim 10\text{-}100$ nm additional SiO_2

Self-Consistent Electro-Thermal Model

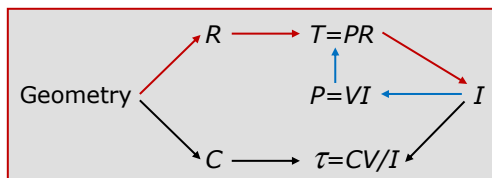
E. Pop et al., Proc. IEDM 2004



$$C_{ex} = 2\beta\epsilon_{sw} \ln(1 + L_{ex}/t_{ox})/\pi$$

$$R_{xd} = R_{ex} \pm R_Q + R_{sd} + R_{co}$$

(R_Q due to heat source position)

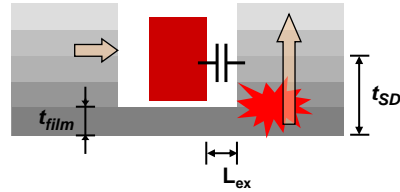
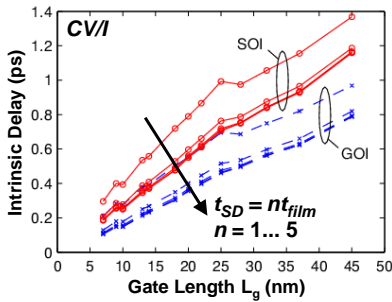


$$I \sim \mu \times (V_{dd} - V_t)^n$$

\uparrow
-0.7 mV/K

SOI/GOI Device Design Optimization

E. Pop et al., Proc. IEDM 2004



- Larger Source/Drain (S/D) volume will help heat spreading in drain
- BUT... no improvement for S/D thickness $t_{SD} > 3-4 \times t_{film}$ = Effect of parasitic side-wall capacitance on Intrinsic Delay
- Optimized, "well-behaved" GOI devices 30% faster than optimized SOI

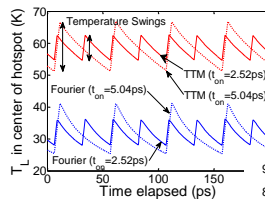
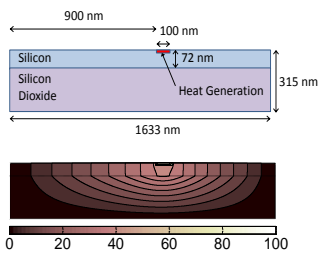
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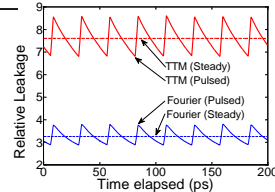
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Transient Device Thermal Modeling

Z.-Y. Ong and E. Pop, submitted (2008)



ITRS 2014
device specs



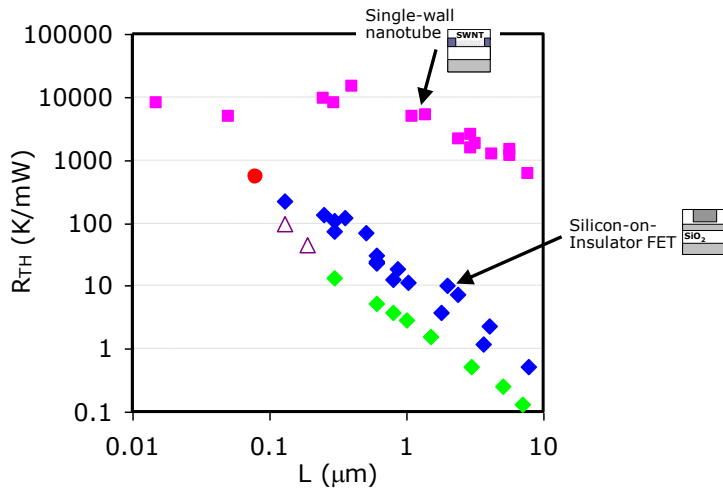
- Compact thermal device model including:
 - Non-equilibrium heat generation from Monte Carlo
 - Phonon relaxation parameter-matched to Boltzmann Transport Eq.
- Capture spatial and temporal temperature excursions
- What is the effect on leakage & reliability?

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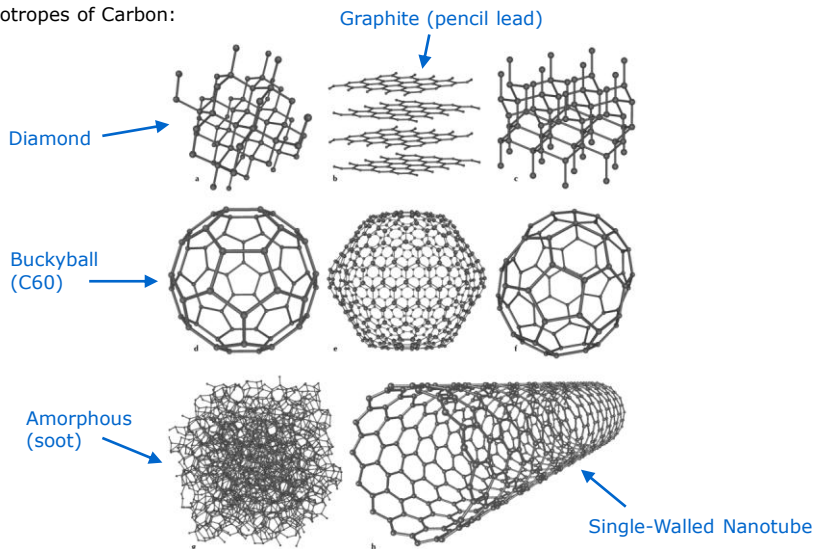
Onto Carbon Nanotubes...



Data: Mautry (1990), Bunyan (1992), Su (1994), Lee (1995), Jenkins (1995), Tenbroek (1996), Jin (2001), Reyboz (2004), Javey (2004), Seidel (2004), Pop (2004-6), Maune (2006).

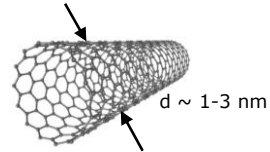
Where Carbon Nanotubes Fit In

Allotropes of Carbon:

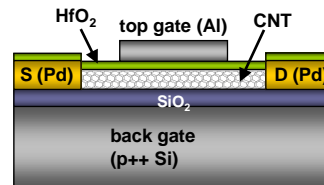


Carbon Nanotubes for Electronics

- Carbon nanotube = rolled up graphene sheet
- Great electrical properties
 - Semiconducting → Transistors
 - Metallic → Interconnects
 - Electrical Conductivity $\sigma \approx 100 \times \sigma_{\text{Cu}}$
 - Thermal Conductivity $k \approx k_{\text{diamond}} \approx 5 \times k_{\text{Cu}}$

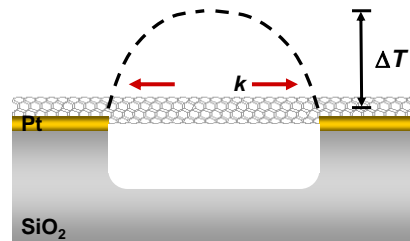
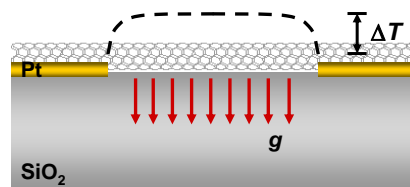


- Nanotube challenges:
 - Reproducible growth
 - Control of electrical and thermal properties
 - Going “from one to a billion”



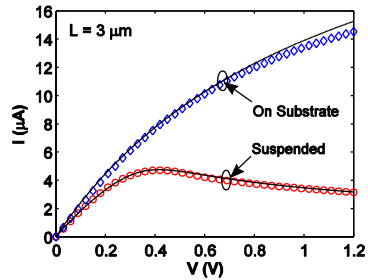
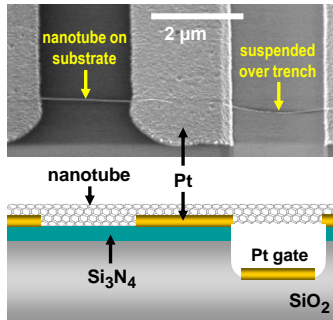
Nanotube Back-of-the-Envelope Estimates

- Typical $L \sim 2 \mu\text{m}$, $d \sim 2 \text{ nm}$
- On insulating solid substrate
- Heat dissipated into substrate
 - Moderate power $\sim 10 \mu\text{W}/\mu\text{m}$
 - Peak $\Delta T \sim 60 \text{ K}$
- Thermal conductivity $k \sim 3000 \text{ W/m/K}$
- Freely suspended nanotube
- Heat dissipated along tube length
 - Moderate power $\sim 10 \mu\text{W}$ ($10 \mu\text{A}$ @ 1 V)
 - Peak $\Delta T \sim 400 \text{ K!}$



Transport in Suspended Nanotubes

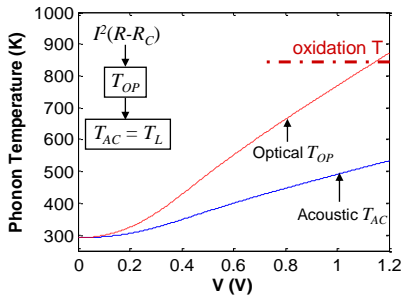
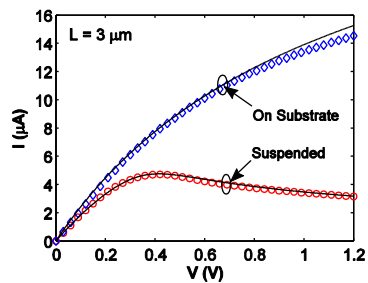
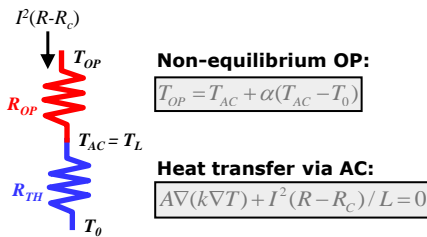
E. Pop *et al.*, Phys. Rev. Lett. 95, 155505 (2005)



- Observation: significant current degradation and negative differential conductance at high bias in suspended tubes
- Question: Why? Answer: Tube gets HOT (how?)

Transport Model Including Hot Phonons

E. Pop *et al.*, Phys. Rev. Lett. 95, 155505 (2005)



Landauer electrical resistance

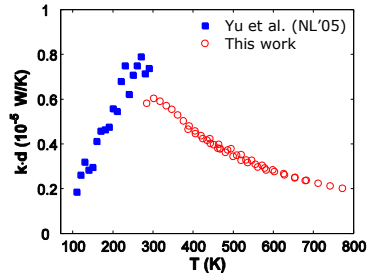
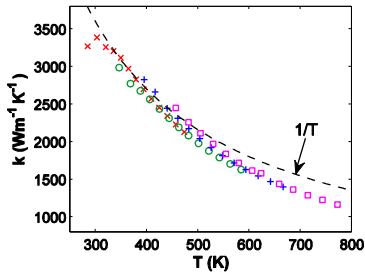
$$R(V, T) = R_C + \frac{h}{4q^2} \frac{L + \lambda_{eff}(V, T)}{\lambda_{eff}(V, T)}$$

Include OP absorption:

$$\lambda_{eff} = \left(\frac{1}{\lambda_{AC}} + \frac{1}{\lambda_{OP,ems}} + \frac{1}{\lambda_{OP,abs}} \right)^{-1}$$

Extracting SWNT Thermal Conductivity

E. Pop et al., *Nano Letters* 6, 96 (2006)



- “Inverse” numerical extraction of k from the high bias ($V > 0.3$ V) tail
- Comparison to data from 100-300 K of UT Austin group (C. Yu, *NL Sep'05*)
- Result: first “complete” picture of SWNT thermal conductivity from 100 – 800 K

E. Pop

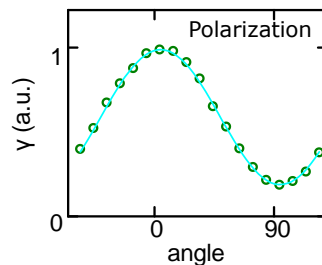
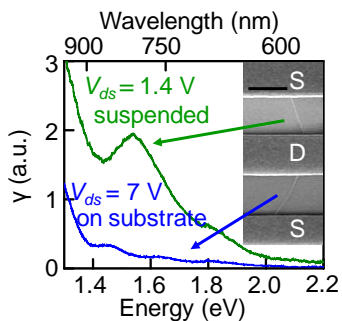
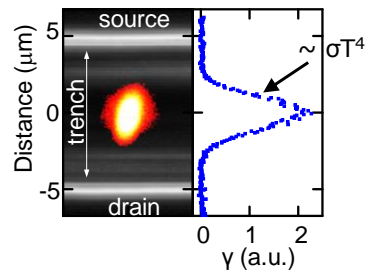
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Light Emission from Metallic SWNTs

D. Mann et al., *Nature Nano* 2, 33 (2007)

- Joule-heated tubes emit light:
 - Comes from center, highly polarized
 - Quasi-metallic = small band gaps
 - Emitted photons at higher energy than applied bias (high energy tail)



E. Pop

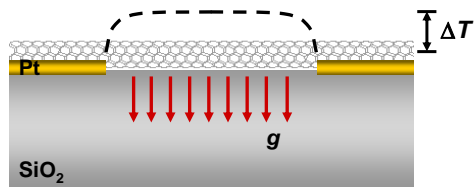
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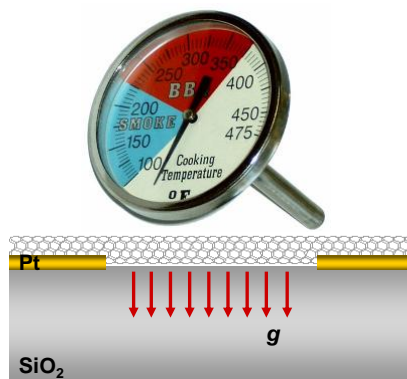
Return to SWNTs On Substrates

E. Pop *et al.*, Proc IEDM 2005; Proc IEEE 2006

- SWNT on insulating solid substrate
- Heat dissipated into substrate rather than along tube length
- *Q: How do I model heat loss into substrate?*
- [A: need some gauge of the tube temperature]

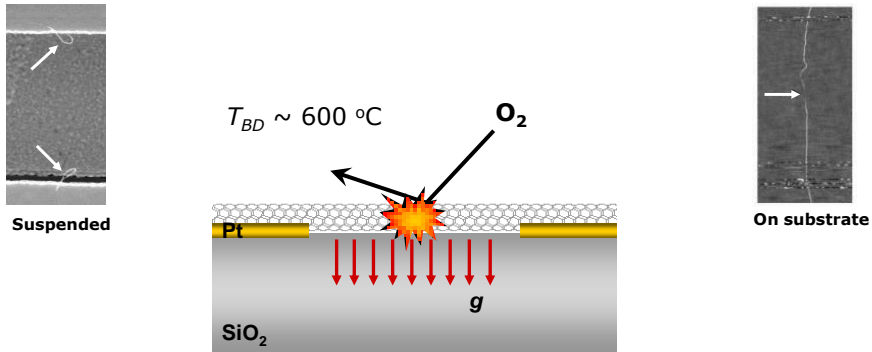


Nanotube Temperature Gauge



Nanotube Temperature Gauge

- Doesn't exist
- But... oxidation (burning) temperature is known

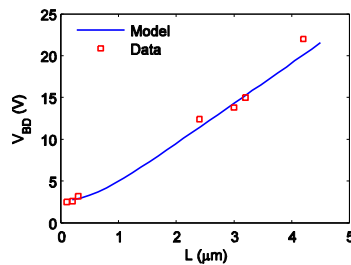


Breakdown of SWNTs in Air (Oxygen)

$$A\nabla(k\nabla T) + p' - g(T - T_0) = 0$$

At breakdown: $p' = I_{BD} V_{BD} / L$

$$V_{BD} = \frac{g L (T_{BD} - T_0)}{I_{BD}}$$

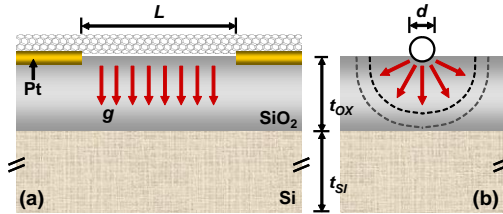
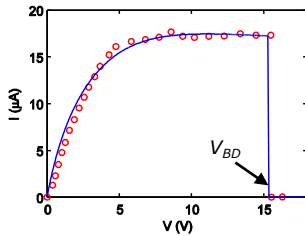


E. Pop, *Proc. IEDM* (2005)
A. Javey, *PRL* 92, 106804 (2004)

- Data shows SWNTs exposed to air break down by oxidation at $500 < T_{BD} < 700 \text{ } ^\circ\text{C}$ (800–1000 K)
- Joule breakdown voltage data shows V_{BD} scales with L in air
- Supports cooling mechanism *along* the length, into the substrate

Electrical Breakdown of SWNTs

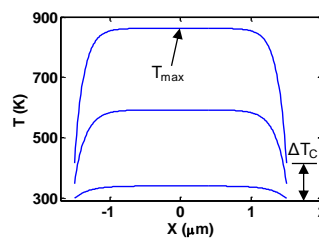
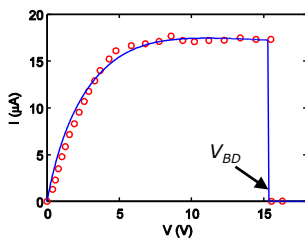
E. Pop et al., J. Appl. Phys. 101, 093710 (2007)



- SWNT exposed to air from the top
- Sweep voltage low to high
- Temperature peaks in the middle
- When $T_{max} = T_{BD} \rightarrow V = V_{BD}$ and $P_{BD} = I_{BD} V_{BD}$

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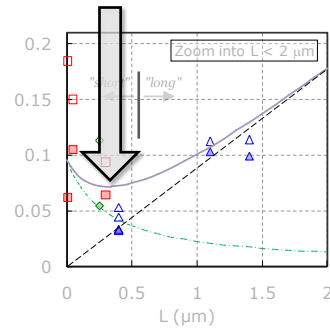
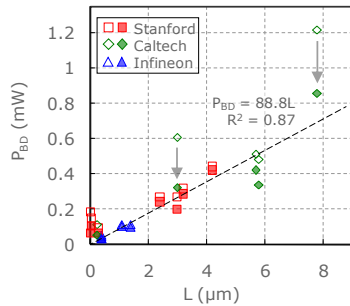


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Breakdown Data from Literature

E. Pop, DRC (2007)

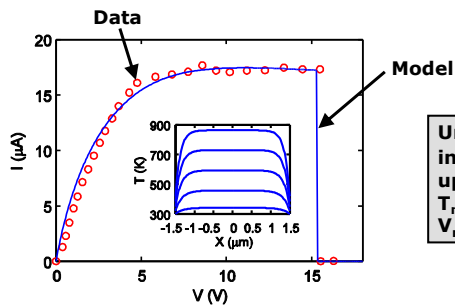
$$P_{BD} = gL(T_{BD} - T_0) \frac{\cosh(L/2L_H) + gL_H \mathcal{R}_T \sinh(L/2L_H)}{\cosh(L/2L_H) + gL_H \mathcal{R}_T \sinh(L/2L_H) - 1}$$



- "Short" vs. "long" breakdown: Compared to thermal "healing" length $\sim 0.2 \mu\text{m}$
- Note: There is a *minimum* breakdown power $\sim 0.05 \text{ mW}$
- We can learn a lot more about electrical and thermal properties

SWNT Compact Model Up to Breakdown

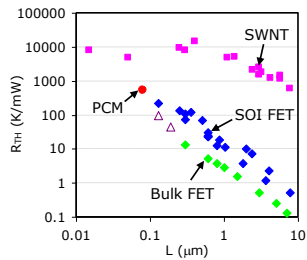
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Understanding transport in a 3 μm metallic SWNT up to breakdown:
 $T_{\text{max}} \sim 600 \text{ }^\circ\text{C} = 873 \text{ K}$
 $V_{\text{max}} \sim 15 \text{ V}$

- Thermal "healing length" along SWNT $\sim 0.2 \mu\text{m}$
- Current saturation $\sim 20 \mu\text{A}$ in long tubes ($> 1 \mu\text{m}$) *due to self-heating*
- Self-heating not significant when $p' < 5 \mu\text{W}/\mu\text{m}$ (design goal?)
- More current in *short* nanotubes = less heating?

Summary



- Small device dimensions, high local power densities
- Increased device thermal resistance with decreasing dimensions
- Physics-based models to capture:
 - Size effects
 - Phonon non-equilibrium
 - Transient temperature effects
- Opportunity for “bottom-up” thermal device and materials design

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